## **FORM PTO-1449**

## LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

<b>ATTY. DOCKET NO.</b> 704117.4004	SERIAL NO. Not yet assigned	
APPLICANT:		
Richard S. Ginn		
FILING DATE:	GROUP:	_

Not yet assigned

Herewith (10/6/2003)

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
200	3,683,655	8/15/1972	White, et al.			
	3,757,783	9/11/1973	Alley			
	5,306,234	4/26/1994	Johnson			
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	5,588,424	12/31/1996	Insler, et al.			
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	6,287,290	9/11/2001	Perkins et al.			
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	US2001/0037808	Pub 11/2001	Deem et al.			
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<b>ATTY. DOCKET NO.</b> 704117.4004	SERIAL NO. Not yet assigned
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Richard S. Ginn	
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US2002/0112729

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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	LATION NO
350	WO 01/66190	March 2001	PCT			·	
1	WO 01/87170	May 2001	PCT				

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	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)				
88	Clifton A. Alferness, et al., EPO Publication No. EP 1 078 601 A2, "Lung Volume Reduction Apparatus", 2.28/2001.				
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	Rodney A. Perkins, et al., PCT Publication No. WO 01/02042 A1, "Methods, Systems, and Kits for Lung Volume Reduction", 1/11/2001.				
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L	Edward P. Ingenito, PCT Publication No. WO 01/13908 A2, "Tissue Volume Reduction", 3/1/2001.				

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